#### No. 481 - Year XXXIV - I. GENERAL PROVISIONS

Friday, 17th May 2019

## **Governing Council**

13084. REGULATORY AGREEMENT of 10th April 2019, approving the regulations regarding the organisation of doctoral studies at the University of the Balearic Islands.

Research, understood as the production of knowledge, is one of the fundamental missions of the University as an institution, and this is reflected in Organic Law 6/2001, of 21st December, on universities. The training of researchers through doctoral programmes is an exclusive competence of the University, without prejudice to the participation of other academic, economic or social agents in said training.

Doctoral studies, corresponding to the third cycle of official university education and leading to the attainment of the title of doctor, have as their main goal the training of researchers in the different branches of knowledge and therefore are an essential cornerstone of a society and an economy based on knowledge. Doctors must have an essential role in all institutions involved in research and innovation, and so they must lead the process for the transfer of the generated knowledge to the economic and social fabric, so as to contribute to creating greater welfare for all. This way, doctoral studies become a strategic node of the R&D&i policy of universities.

This is recognised by the European University Association (EUA), which agglutinates over 800 universities and 47 Conferences of Vice-Chancellors all over Europe, and by the European Doctoral Council, as has been clearly shown in multiple occasions, particularly at the Bergen Conference (2005). Doctoral schools must play a key role in the implementation of the doctoral model being promoted, which is an integrative one, in which the collaboration with other bodies, entities and institutions involved in R&D&i both nationally and internationally is deemed essential.

In Spain, doctoral studies are regulated by Royal Decree 99/2011, of 28th January. The special features of these studies and the different training needs and skills to be acquired in the different fields of knowledge call for a specific regulation, like that which is reflected in Regulatory Agreement 11037/2014, of 22nd July, approving the regulations for the organisation of doctoral studies at the University of the Balearic Islands, subsequently amended by Regulatory Agreement 11330/2015, of 23rd March (FOU no. 414, of 17th April).

The various regulatory updates of the above-mentioned royal decree, as well as the experience gained since the adoption of Regulatory Agreement 11037/2014 and its subsequent amendment, together with the common positions adopted by Doctoral Schools Association, make advisable the creation of a new regulation which, taking

the regulation currently in force as a starting point, updates it and incorporates the necessary amendments.

In light of the above, the Governing Council, in today's ordinary session, making use of the competences conferred upon it by articles 24.2.32 and 40 of the Statutes of this university, has approved the regulations regarding the organisation of doctoral studies at the University of the Balearic Islands.

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## Chapter I. Doctoral studies

#### **Article 1. Definitions**

For the purposes of this regulation and in compliance with article 2 of Royal Decree 99/2011, of 28th January, which regulates doctoral studies, the following definitions are established:

a. Doctoral studies: third cycle of official university education, leading to the acquisition of the competences and skills associated with high-quality scientific research.

- b. Doctoral programme: series of activities leading to the acquisition of the competences and skills required to be awarded a PhD. Doctoral programmes aim at implementing the different training aspects of the doctoral student, and must set the procedures and lines of research for the development of doctoral theses within a specific field of knowledge.
- c. Doctoral student: a person who, having previously accredited the requirements set in Royal Decree 99/2011, of 28th January, has been admitted to a doctoral programme and has enrolled in it. Those persons who have been admitted and are enrolled in existing doctoral programmes regulated by former regulations are also considered doctoral students.
- d. Doctoral school: unit set by one or more universities and possibly in collaboration with other national or foreign bodies, centres, institutions and entities conducting R&D&i activities, whose fundamental aim is the arrangement and management of doctoral studies in one or more branches of knowledge, or with an interdisciplinary nature.
- e. Doctoral activities document (DAD): individual record intended to control the activities carried out by the doctoral student with the necessary support, which must be regularly revised by the thesis tutor and the thesis supervisor, and evaluated by the academic committee responsible for the doctoral programme, and which is regulated in article 8.3 of Royal Decree 99/2011, of 28th January, and article 17.5 of this regulation.
- f. Thesis supervisor: person who bears overall responsibility for managing the doctoral student's range of research tasks, under the terms of articles 11.4 and 12.1 of Royal Decree 99/2011, of 28th January, and article 16 of this regulation.
- g. Tutor: person charged with ensuring that the training and the research activities carried out by the doctoral student are suited to the principles of the programmes, and, where appropriate, of the doctoral schools.
- h. Academic committee of each doctoral programme: body accountable for the definition, update, quality and coordination of the programmes; the progression of the research; the training and the authorisation for the defence of the thesis of each student enrolled in the programme.
- i. Thesis charter: compromise document referred to in article 11.8 of Royal Decree 99/2011, of 28th January, and article 18 of this regulation, in which the supervision functions are set and which is signed by the University, the doctoral student, the tutor and the thesis supervisor.
- j. Unit making the doctoral programme proposal: any relevant unit with regard to research who proposes the programme. In compliance with what is set out in article 145 of the Statutes of the UIB, the relevant units with regard to research are the research groups recognised as such by the UIB, the departments, the university research institutes and other centres and

- partnerships of a mixed nature between the University and other public or private bodies.
- k. Body responsible for the academic and administrative management of doctoral programmes: The Doctoral School of the UIB (EDUIB), set up by the agreement of the UIB's Governing Council, of 22nd November 2011.

## **Article 2. Structure, management and duration of doctoral studies**

- 1. The doctoral studies of the UIB shall be structured in doctoral programmes.
- 2. The EDUIB will be the body responsible for the academic and administrative management of doctoral programmes.
- 3. Regarding the duration of doctoral studies, they can be undertaken with a full-time or part-time dedication.

#### Article 3. Duration of full-time doctoral studies

- Full-time doctoral studies may last a maximum of three years, from the student's admission to the programme to the viva defence. This period might be extended a maximum of two years. The first year shall be called an extension year, whereas the second one, of a more exceptional nature, shall be called an additional year.
- 2. The body in charge of authorising the extension and additional years is the academic committee responsible for the doctoral programme. In order for the extension year to be authorised the student must add an updated research plan to their application, approved by the tutor and the supervisor. In order for the additional year to be authorised the student must submit a new and updated research plan approved by the tutor and the supervisor, along with a document stating the objective evidence according to which they will be able to deposit their thesis within this additional year. This last document shall be signed by the doctoral student, the tutor and the supervisor.
- 3. The EDUIB, in compliance with article 2 of this regulation, will ratify where appropriate the academic committees' decisions regarding any proposals for extension or additional years.

## **Article 4. Duration of part-time doctoral studies**

1. Part-time doctoral studies may last a maximum of five years, from the student's admission to the programme to the viva defence. This period might be extended a maximum of three years. The first and second years shall be called extension years, whereas the third one, of a more exceptional nature, shall be called an additional year.

- 2. The body in charge of authorising the two extension and the additional years is the academic committee responsible for the doctoral programme. In order for the two extension years to be authorised (on an annual basis), the student must add an updated research plan to their application, approved by the tutor and the supervisor. In order for the additional year to be authorised the student must submit a new and updated research plan approved by the tutor and the supervisor, along with a document stating the objective evidence according to which they will be able to deposit their thesis within this additional year. This last document shall be signed by the doctoral student, the tutor and the supervisor.
- 3. The EDUIB, in compliance with article 2 of this regulation, will ratify, where appropriate, the academic committees' decisions regarding any proposals for extension or additional years.
- 4. The academic committee of the doctoral programme, having regard to the interested party's application and if the circumstances make it appropriate, may authorise the student to undertake their doctoral studies with a part-time dedication, as long as no extensions have been requested, and with the approval of the thesis tutor and supervisor(s). Authorisations for undertaking part-time doctoral studies must be ratified by the EDUIB.
- 5. The academic committee of the doctoral programme may exceptionally authorise the change to a part-time dedication within the extension period and the additional year of students who request it, provided that any of the following circumstances apply:
  - a. Work-related reasons, particularly those having to do with the student's permanent and/or stable status. Work situations lasting less than three months shall not be taken into consideration.
  - b. Family-related or personal reasons, which may include, among others, situations of dependency, care for elderly persons or children with disabilities, large families with school-age children and gender violence situations.
  - c. Students with disabilities of a degree greater than or equal to 33% will be granted, at their request, the part-time student status.
- 6. In order for the academic committee to authorise the change from full to parttime dedication, the following documentation must be at its disposal:
  - a. A report by the student and the thesis supervisor on the current stage of development of the thesis. In the case of co-supervised theses, each supervisor must submit a separate report.
  - b. The student's and the supervisor(s)' commitment to complete the doctoral thesis within a given period of time. A proposed

implementation calendar (covering up to the date of the viva defence) shall be added.

- c. A draft version of the thesis.
- 7. Once the students who have requested the part-time status during the extension period have been granted it, they will have two more years to complete their doctoral studies. If they request it during the additional year, they will have one more year to complete their doctoral studies.
- 8. The authorisations granted by the academic committee of the doctoral programme must at all events be ratified by the EDUIB.
- 9. Under no circumstances will requests to change from full-time to part-time dedication be processed within the last six months of the student's permanence in the programme.
- 10. Sick and pregnancy leaves, as well as those caused by any other of the situations governed by current regulations will not be considered for the purpose of calculating the aforementioned periods.

## **Article 5. Temporary withdrawal from doctoral studies**

- 1. The student might request the temporary withdrawal from the programme for a maximum of one year, with a possible extension of one more year. The application must duly justified and addressed to the academic committee responsible for the doctoral programme. The academic committee will inform about the doctoral student's request. Under no circumstances will a temporary withdrawal application that is not duly justified be admitted.
- 2. This application will be submitted prior to the start of the registration period, provided that the last control has been passed. As a general rule, it won't be possible to request the temporary withdrawal within the period between a failed control and its redress.
- 3. Under no circumstances will requests to change from full-time to part-time dedication be processed within the last six months of the student's permanence in the programme.
- 4. The body responsible for the academic management of the doctoral programme (the EDUIB), having seen the report of the academic committee in charge of the programme, will decide on the granting of the requested temporary withdrawal.

#### **Article 6 Enrolment cancellation in doctoral studies**

The cancellation of an enrolment might be processed ex officio by the University, or at the student's request.

## Article 7. Ex officio enrolment cancellation by the university

- 1. The University shall cancel an enrolment ex officio in the following cases:
  - a. Non-payment, partial or complete, of enrolment fees within the time limits and respecting the terms set by price regulations.
  - b. False documentation.
  - c. Failure to submit the required documentation for the enrolment within the deadlines set.
  - d. Other justified reasons, or those governed by other regulations.
- 2. In the cases covered by letters a), b) and c) above, in the case of partial or complete non-payment the debt shall remain, and the student will not be entitled to the reimbursement of the amounts paid.
- 3. Once the official cancellation has taken place, it might be reversed provided that the student corrects the situation which led to the cancellation before 15th March of the academic year in which they enrolled.
- 4. The Pro Vice-Chancellor with competence in academic management might exceptionally grant an extension for the cancellation for non-payment, if a social worker of the UIB issues a report to this effect.
- 5. If the student in question is new, and they see their enrolment completely cancelled, they will automatically lose their place. If they want to enrol in the same studies in another academic year, they will have to be admitted again.

## Article 8. Enrolment cancellation at the student's request

- 1. A student might only request the cancellation of the enrolment in instances of force majeure that make it impossible for them to carry on with their studies during the academic year they enrolled for.
- 2. Valid force majeure causes to cancel an enrolment are:
  - a. Serious illness of the student or of a relative up to the second degree of consanguinity.
  - b. Acquired disability.
  - c. Economic loss of the family unit.
  - d. Attainment of a place at another university after the enrolment date.
  - e. Refusal of visa.
  - f. Signing of a contract or relevant changes in working conditions.
  - g. Other exceptional circumstances.
- 3. In all the above cases, the alleged force majeure cause must occur after the enrolment date and supporting documentation must be submitted.
- 4. The cancellation application can be carried out up to the date set by the EDUIB.

- 5. The reimbursement of the fees of the cancelled doctoral enrolment shall be made in compliance with the following provisions:
  - a. In accordance with the regulation on prices, the amounts corresponding to administrative concepts shall in no case be reimbursed.
  - b. Academic tutelage fees shall be reimbursed in full in all cases but two: letters d) (as long as the studies are carried out at the UIB) and f) of the second section of this same article; in these two exceptional cases 75 per cent of the fees shall be reimbursed.
- 6. The enrolment will not be considered cancelled for the purpose of calculating the time the student has to complete their doctoral studies.
- 7. The Pro Vice-Chancellor responsible for doctoral studies, or their delegate, shall decide on the application for the cancellation of enrolment and shall indicate whether a reimbursement is applicable, as well as the economic effects of said cancellation. Under exceptional circumstances, the said Pro Vice-Chancellor might accept cancellation applications which are submitted after the deadline for the reasons set in letter a), b), c), e) and g) of the second section of this same article.
- 8. If the applicant is a new student and the request is granted, they will be entitled to reserve a place for the next academic year, just once, except in the case set forth in letter d) of the second section of this article.

#### **Chapter II. Access and admission to doctoral studies**

## **Article 9. Access requirements for doctoral studies**

- 1. In accordance with the provisions of article 6 and the second section of the second additional provision of Royal Decree 99/2011, of 28th January, an official doctoral programme might be accessed by those in one of the following situations:
  - a. Holders of a Spanish official bachelor's degree, or an equivalent, and a master's degree.
  - b. Holders of a Spanish or European Higher Education Area official university diploma that qualifies them to access a master's degree, in accordance with what is set out in article 16 of Royal Decree 1393/2007, of 29th October, approving the regulations for the organisation of official university education, as well as having passed a minimum of 300 ECTS credits, of which at least 60 must be at masters' level.
  - c. Holders of a Spanish official bachelor's degree whose duration, in accordance with Community law standards, is at least 300 ECTS

- credits. These graduates must take the compulsory complementary training referred to in article 7.2 of Royal Decree 99/2011, of 28th January, unless the curriculum of the corresponding undergraduate degree includes research training credits, equivalent in educational value terms to research credits from master's degrees.
- d. Being a graduate who, having previously obtained a training place in the corresponding access test for specialised medical training places, has passed with a positive assessment at least two years of training in a programme to obtain the official degree of one of the specialities in Health Sciences.
- e. Being in possession of a degree certificate obtained in accordance with foreign education systems, without the need for it to be validated, after verification by the university that it proves a level equivalent to that of the official Spanish master's degree and which entitles to the admission to doctoral studies in the issuing country. This admission does not imply, in any case, the validation of the previous degree in possession of the applicant or its recognition for purposes other than access to doctoral programmes.
- f. Being in possession of another Spanish doctoral degree obtained under former university organisations.
- g. Graduates (five-year degrees), architects or engineers holding a *Diploma de Estudios Avanzados* (Diploma of Advanced Studies) obtained according to the provisions of Royal Decree 778/1998, of 30th April, or having reached the researcher proficiency regulated by Royal Decree 185/1985, of 23rd January, which regulates the third cycle of university studies, the awarding and issuing of the PhD certificate and other postgraduate studies.
- h. Holding a full undergraduate diploma which has been recognised as equivalent to a MECES 3 level of the Spanish Qualifications Framework for Higher Education (MECES), in accordance with what is set out in Royal Decree 967/2014, of 21st November, which establishes the requirements and the procedure for the official recognition and declaration of equivalence to a degree and to the official university academic level and for the validation of foreign higher education studies, and the procedure for determining the correspondence with MECES levels of the official titles of arquitecte | arquitecto (architect), enginyer | ingeniero (engineer), llicenciat | licenciado (long-cycle graduate, five-year degrees), arquitecte tècnic | arquitecto técnico (technical architect), enginyer tècnic | ingeniero técnico (technical

engineer) and *diplomat | diplomado* (short-cycle graduate, 3-year degrees).

#### Article 10. Admission to doctoral studies

- 1. Each doctoral programme will establish and publish the maximum number of new places in accordance with the verification report referred to in article 10.2 of Royal Decree 99/2011, of 28th January.
- 2. This number of places might be increased, provided that the added ones are assigned to students who have obtained a pre-doctoral contract, a scholarship or a full-time grant in the framework of a competitive call.
- 3. In order to ensure equal opportunities for all, 5 per cent of the places of each doctoral programme will be reserved for students with disabilities of a degree greater than or equal to 33 per cent, as well as for students with ongoing special educational needs associated with personal circumstances of disability who required resources and support for a full educational normalisation in their previous studies, as is established in Regulatory Agreement 12052/2016, of 7th November, approving the reservation of places for students with disabilities in the master's and doctoral studies of the University of the Balearic Islands.
- 4. In accordance with what is set out in articles 7 and 10.2 of Royal Decree 99/2011, of 28th January, the academic committees referred to in article 14 of this regulation will make available to the public the requirements and criteria set in the verification report for the selection, prioritisation and admission of students to the corresponding doctoral programme.
- 5. Admission to a doctoral programme may require specific complementary training, depending on the student's admission profile. Regarding public fees and for the awarding of contracts, scholarships and grants, said complements will be equated with training at the doctoral level, and they shall not be included for the calculation purposes set in articles 3, 4 and 5 of this regulation. At all events, the specific complementary training shall be indicated in the verification report of the doctoral programme referred to in article 10.2 of Royal Decree 99/2011, of 28th January. In the event that the student is required to take complementary training, the academic committee responsible for the doctoral programme shall set the deadline within which said training must be successfully completed.
- 6. In the case of students with special educational needs derived from a disability, the admission systems and procedures shall provide the appropriate services, support and counselling to evaluate the need for curricular adaptations, pathways or alternative studies.

## **Chapter III. Doctoral programmes**

## Article 11. Organisation of doctoral training within doctoral programmes

- 1. Doctoral studies will be carried out at the EDUIB or at any other relevant research unit: research groups, departments, university research institutes and other centres and partnerships of a mixed nature between the University and other public or private bodies, in accordance with what is set out in article 145.1 of the Statutes of the UIB.
- 2. Doctoral programmes shall include organised research training, not necessarily structured in ECTS credits, which will comprise cross-disciplinary training and specific training adapted to each programme. The organisation of said training and its control procedures shall be described in the verification report of the doctoral programme.
- 3. The training activities carried out by the student will be listed in the doctoral activities document referred to in letter e) of article 1 of this regulation.
- 4. The doctoral student's main activity is research, which results in the writing of a thesis dissertation, consisting in an original research project in any field of knowledge carried out by the student in compliance with what is set out in article 20 of this regulation.
- 5. Through doctoral training the student acquires the skills contained in article 5.1 of Royal Decree 99/2011, of 28th January, and article 8.2 of Royal Decree 1027/2011, of 15th July (BOE no. 185, of 3rd August), establishing the Spanish Qualifications Framework for Higher Education (MECES).
- 6. Through doctoral training the student acquires the abilities and personal skills referred to in article 5.2 of Royal Decree 99/2011, of 28th January.
- 7. Under exceptional and duly justified circumstances, the academic committee responsible for the doctoral programme, taking into account the student's professional and academic background and after having revised their merits, might fully or partially exempt them from taking the above-mentioned cross-disciplinary and/or specific training activities. This decision must at all events be ratified by the EDUIB.

## **Article 12. Organisation of doctoral programmes**

1. The UIB's doctoral programmes can be jointly organised with other universities by means of a specific agreement signed to that effect, and might receive the support, also expressed in the form of an agreement, of other bodies, centres, institutions and entities carrying out R&D&i activities, which might be public or private, national or foreign, in accordance with the research and doctoral training strategies defined by the relevant Pro Vice-Chancellors.

- 2. Each doctoral programme must be organised, designed and coordinated by an academic committee, whose make-up and functions are indicated in article 14 of this regulation.
- 3. Each doctoral programme must have its coordinator, appointed by the Vice-Chancellor of the UIB on the proposal of the director of the EDUIB, after consulting the research units proposing the programme. In the case of common programmes, the proposal shall be put forth by means of an agreement between Vice-Chancellors, or in the way indicated in the corresponding agreements in those cases in which the doctoral studies are carried out jointly with other institutions.

The coordinator must be an outstanding researcher who must have supervised a minimum of two doctoral theses and be in possession of at least two positively-evaluated research activity periods, in accordance with what is set out in Royal Decree 1086/1989, of 18th August, on the remuneration of university teaching staff. In the event that these assessment criteria are not applicable to the researcher by virtue of their post, they will have to accredit merits comparable to the ones stated.

## **Article 13 Requirements for lecturers**

All lecturers in a doctoral programme must hold a PhD, without prejudice to the collaboration of other people and professionals in certain collaboration activities by virtue of their knowledge in the relevant area of research.

## **Article 14. Academic committees for doctoral programmes**

- 1. The academic committee of a doctoral programme is the body responsible for its design, organisation and coordination.
- 2. The academic committee of a doctoral programme must be comprised entirely of doctors and must be approved by the Executive Committee of the EDUIB on the proposal of its chair, after consulting the research units proposing the programme, in compliance with what is set out in the Statutes and regulations of the UIB. The coordinator of the doctoral programme is chair of its academic committee and must be a researcher who fulfils the requirements set out in article 12.3 of this regulation.
- 3. The academic committee's functions are:
  - a. Design, organise and coordinate the doctoral programme.
  - b. Carry out updates and quality assurance processes.
  - c. Take charge of the training of the students enrolled in the programme.
  - d. Grant students admission to the programme.

- e. Provide students with a tutor at the time of their admission, with the agreement of the designated person.
- f. Provide students with one or more thesis supervisors at the time of their admission, with the agreement of the designated person(s). Should a thesis supervisor not be assigned at the time of the admission, the committee will have to justify this, and designate a supervisor within three months from the time of the enrolment.
- g. Approve, as appropriate, the doctoral student's research plan, which must be submitted within twelve months from the date of the enrolment.
- h. Ensure the correct use and formalisation of the doctoral activities document.
- i. Execute the annual controls of the activities carried out by the student, in accordance with what is set out in article 11 of Royal Decree 99/2011, of 28th January.
- j. Authorise the viva defence.
- 4. The make-up of the academic committee is:
  - a. The coordinator of the doctoral programme, who also chairs it.
  - b. A minimum of two UIB lecturers of the relevant research units referred to in article 145.1 of the Statutes of the UIB, belonging in the fields of knowledge within which the doctoral programme belongs.
  - c. Where appropriate, a representative of each of the institutions which have signed an agreement with the UIB in relation to the doctoral programme, who must hold a PhD. In the case of joint degrees carried out by the UIB and other universities, the make-up of the academic committee shall be established in accordance with what is set out in the agreement, which must necessarily refer to this point.
- 5. The academic committee will forward to the Executive Committee of the EDUIB for ratification purposes, where appropriate:
  - a. The designations of the thesis tutor and supervisor(s). In the case of the thesis supervisor(s), an updated curriculum vitae of the designated researcher(s) must be added to the documentation attesting to their designation.
  - b. The doctoral student's research plan and the annual control reports.
  - c. The thesis, along with the report produced by the supervisor referred to in article 21 of this regulation, and the authorisation to defend it.
  - d. The proposal for the viva panel, developed in accordance with what is set forth in article 22 of this regulation.

#### **Article 15. Thesis tutor**

- 1. The tutor must be a doctor with accredited experience in research, linked to the EDUIB or the relevant research units organising the programme, referred to in article 145.1 of the Statutes of the UIB. This engagement must be understood in a broad sense, so that in addition to UIB lecturers partaking in the corresponding doctoral programme, other researchers from outside of the UIB and belonging in research companies or institutions whose participation in the doctoral programme has been established by means of an agreement to that effect might be linked to it as well. The tutor's research experience will be accredited through the possession of a six-year period of research or equivalent merits.
- 2. The academic committee of the doctoral programme shall appoint a tutor for each doctoral student at the time of their admission. Said appointment shall be ratified by the Executive Committee of the EDUIB in accordance with the provisions of article 14.5 of this regulation.
- 3. The tutor will be in charge of ensuring the proper interaction between the doctoral student and the academic committee, and of monitoring the activities conducted by the student.
- 4. The academic committee of the doctoral programme might modify the tutor's appointment at any time during the period in which the doctoral studies are being carried out, after the student has been heard and provided that there are justified reasons for it
  - Said appointment shall be ratified in accordance with the provisions of article 14.5 of this regulation. The appointment will take effect immediately and it will be reflected in a new thesis charter, which shall be signed by all parties involved.

## **Article 16. Thesis supervisor**

- 1. The thesis supervisor must be a Spanish or foreign doctor with accredited experience in research, regardless of the university, centre or institution they serve. Said research experience will be accredited through the possession of a six-year period of research or equivalent merits.
- 2. The academic committee of the doctoral programme will provide doctoral students with one or more thesis supervisors at the time of their admission. Should a thesis supervisor not be assigned at the time of the admission, the committee will have to justify this, and designate a supervisor within three months from the time of the enrolment.

Said designation shall be ratified by the Executive Committee of the EDUIB, in accordance with the provisions of article 14.5 of this regulation, for which purpose an updated curriculum vitae of the proposed researcher must be submitted.

- 3. The thesis supervisor may or may not be the same as the tutor referred to by article 15 of this regulation.
- 4. The thesis supervisor bears overall responsibility for guiding the student in the research activities leading to the writing of the thesis, for the coherence and adequacy of the training activities carried out, for the impact and innovation of the thesis within its subject area, and for guiding the student in terms of the planning and, where appropriate, of the adequacy of the thesis to other projects and activities the student is involved in. The thesis supervisor must monitor the doctoral student's activities through a revision of the doctoral activities document referred to by articles 1 and 17.5 of this regulation.
- 5. The thesis might be co-supervised by other doctors for academic reasons, like the interdisciplinary nature of the thesis or the execution of joint programmes with institutions or national or international enterprises, with prior authorisation from the academic committee of the doctoral programme. Said authorisation might be revoked afterwards if it is the view of the academic committee that the co-supervision does not contribute to the development of the thesis. Thesis co-supervisors must accredit their research experience in the same way as supervisors, in accordance with section 1 of this same article.
- 6. The academic committee of the doctoral programme might modify the supervisor's appointment at any time during the period in which the doctoral studies are being carried out, after the student, the tutor and the supervisor(s) have been heard and provided that there are justified reasons for it. Said appointment shall be ratified in accordance with the provisions of article 14.5 of this regulation. In order to modify the appointment, the outgoing supervisor must submit their resignation, while the new supervisor simultaneously submits their acceptance. In general, the outgoing supervisor will remain on duty until the appointment of the new supervisor.
- 7. One single researcher might supervise or co-supervise more than one doctoral thesis at the same time. Although this regulation does not set the number of doctoral theses that a researcher can simultaneously supervise, the academic committee of the doctoral programme shall ensure that the number is at all times reasonable. In the event of any conflict, the director of the EDUIB might take the measures they deem suitable, after consulting the Executive Committee or, where appropriate, the Vice-Chancellor responsible for doctoral studies.

#### **Article 17. Doctoral student**

- 1. Doctoral students who have been admitted to a doctoral programme shall enrol annually for the academic tutelage of their thesis. In the case of joint programmes, the agreement will necessarily indicate the enrolment procedure. In the event that a student fails to enrol within the set deadline, they will be banned from enrolling in the same doctoral programme in the following two academic years. In any case, the academic committee of the doctoral programme must approve the admission and the same record shall be kept. This readmission can only be granted once.
- 2. Once they have enrolled in a doctoral programme, students shall be subject to the legal framework, in their case of a contractual nature, in accordance with the provisions of the applicable legislation.
- 3. Once the student has enrolled in the programme, the doctoral activities document referred to in article 1 of this regulation shall be generated. In compliance with Executive Agreement 9864/2011, of 5th July, on the registration of the activities carried out by doctoral students (FOU no. 351, of 22nd July), the doctoral student formally commits to keeping an updated record of all the training and research activities carried out in the framework of the doctoral programme they are enrolled in, which must include: publications in specialised journals; attendance to meetings or scientific congresses; presentations at meetings or scientific congresses; attendance to courses, seminars and other training activities; research stays at other universities; contracts, scholarships or grants or aids received; and any other piece of information relevant to their doctoral training. This document must be stored in the GREC platform, which is used to manage academic CVs, or in any other application that the Executive Council of the UIB designates in its place.
- 4. Before the end of the first year, the doctoral student must develop a research plan which must include the methodology to be used and the goals to be achieved, as well as the means and the time planning to do so. This plan can be improved and detailed during the period in which the student is enrolled in the programme, and it must be endorsed by the tutor and the supervisor. This research plan will be submitted to the academic committee of the doctoral programme for its approval.
- 5. The academic committee must annually evaluate the research plan and the doctoral activities document, along with the reports that the tutor and the supervisor shall issue. Getting a positive assessment is an essential requirement to stay enrolled. Should the assessment be negative, in which case the reasons must be duly justified, the student must be evaluated again within six months and a new research plan will be developed to that effect. If

the second assessment is also negative, the student must be permanently deregistered from the programme. However, the student might apply for admission to another doctoral programme.

#### Article 18. Thesis charter

- 1. The UIB, in compliance with article 11.8 of Royal Decree 99/2011, of 28th January, shall set the monitoring functions for doctoral students through a commitment signed by the relevant Pro Vice-Chancellor on behalf of the University, the student, the tutor and the supervisor.
- 2. Said commitment must be signed as soon as possible after the student's admission, once a thesis supervisor has been designated.
- 3. The document must include a dispute settlement procedure and shall provide for any aspects related to the intellectual and industrial property rights which might be generated within the ambit of doctoral programmes.

# Article 19. Doctoral programmes with mention of excellence or other equivalent mentions

- 1. The EDUIB, in keeping with its mission, shall promote that all UIB doctoral programmes opt for the attainment of a mention of excellence (or other equivalent mentions) through the calls by the relevant ministry.
- 2. EDUIB doctoral programmes that are granted said mentions might receive special incentives, which will depend on the budgetary and human resources availability of the UIB. Said incentives might comprise: being given preference in mobility calls and pre-doctoral contract calls funded by the UIB's own funds, greater recognition for the work carried out by the coordinators of these programmes and any other applicable measures aiming at the recognition of the work done and the encouragement of those involved in the programmes, proposed by the Executive Council of the UIB.

#### **Chapter IV. Doctoral thesis**

#### **Article 20. General considerations**

1. The doctoral thesis will consist in an original research work undertaken by the candidate in any field of knowledge. The thesis must qualify the doctoral student to carry out autonomous work within the ambit of R&D&i.

The necessary arrangement to ensure the originality of the doctoral theses will be assembled.

- 2. The thesis must be developed and defended in the languages habitually used for scientific communication in its specific field of knowledge.
- 3. In order to guarantee the quality of the thesis, prior to its formal submission the thesis supervisor will submit a reasoned report to the academic committee of the doctoral programme and to the EDUIB, which shall state the contents and formal aspects of the thesis, using the form provided to this effect by the EDUIB. In addition, the EDUIB will request two reports on the thesis issued by two doctors specialised in the ambit of the thesis, who must be nominated by the academic committee and be linked to national or foreign higher education or research institutions other than the UIB, using the form provided to this effect. Said reports may contain suggestions for improvements which must be taken into consideration by the doctoral student and which will be made available to the viva panel. In the case of article-based theses (consisting of a compilation of several scholarly articles), the authors of these reports shall under no circumstances coincide with the co-authors of the articles that make up the thesis.
- 4. In the event that the above-mentioned reports are negative, and so they advise against the submission of the thesis in a reasoned way, the EDUIB will consult the academic committee of the doctoral programme and they will jointly make a decision leading either to the rectification of the shortcomings detected and expressed in the experts' reports, or to the final termination of the doctoral thesis. Should there be a lack of consensus, the EDUIB might seek the advice it deems necessary, and its decision shall prevail over that of the committee. In either case the EDUIB will provide written reasons for the decision to the student, the supervisor, and, where applicable, to the cosupervisor.
- 5. In the event that there is disparity between the reports issued, the EDUIB might request a third report before continuing the procedure.
- 6. The authors of the reports regulated by this article cannot be the same as the ones issuing the reports for the awarding of the International doctorate mention, regulated by article 25 of this regulation.

#### **Article 21. Processing of the doctoral thesis**

1. The doctoral student must submit a draft version of the doctoral thesis to the academic committee responsible for the doctoral programme, along with the supervisor's report referred to in article 20.3 of this regulation. This submission might coincide with the last of the annual controls referred to in articles 11 of Royal Decree 99/2011, of 28th January, and 17.5 of this regulation.

- 2. Once the above-mentioned draft thesis has been submitted, the academic committee shall authorise, as the case may be, the submission of the doctoral thesis, after reading the reports referred to in article 20.3 of this regulation.
- 3. Once the submission has been authorised, the academic committee responsible for the doctoral programme will propose a viva panel in compliance with what is set out in article 22 of this regulation.
- 4. The academic committee will send the final version of the thesis, along with the authorisation to submit it, the supervisor's report, the two reports written by the experts and the viva panel proposal to the EDUIB for its ratification and approval, where applicable.
  - The EDUIB might revoke the academic committee's authorisation for the formal submission of the thesis and might not accept the viva panel proposal. In such cases, the EDUIB will submit a reasoned report on the causes for their decision to the academic committee. The academic committee will act in accordance with this report.
- 5. Once the submission and the viva panel proposal have been approved, the EDUIB shall inform the academic committee and the doctoral student in order for them to submit the thesis under the following conditions:
  - a. A copy of the thesis must be submitted to the EDUIB, where it shall remain deposited. If the thesis cannot be reproduced by virtue of its nature, the requirement to deliver copies will be fulfilled with this sole submission to the EDUIB.
  - b. The thesis must include a summary in Catalan, Spanish and English. If the thesis is not written in any of these languages, it must also include a summary in the language in which it is written.
  - c. Once the procedure referred to in article 21.4 has been fulfilled a deposit period of ten natural days is set; the Christmas and Easter breaks, and the month of August will not be applicable to this period. The EDUIB will inform the university community that the thesis has been submitted and will indicate the author, the doctoral programme, the title, the supervisor and, where applicable, the co-supervisors.
    - Within the deposit period doctors may submit any observations they deem appropriate on the contents of the thesis to the academic committee of the doctoral programme. Said observations will be directed to the EDUIB, using the form available to this effect. The EDUIB will forward them to the coordinator of the doctoral programme.
  - d. Once the ten-day deposit period has expired, the academic committee will send all the documentation and the observations deriving from the public exhibition process to the EDUIB.

- 6. In the event that the EDUIB deems it essential to make some changes to it, the thesis will be returned to the academic committee for it to restart the deposit procedure once these changes have been introduced.
- 7. In the case that the thesis defence is not authorised, the EDUIB will communicate the reasons in writing to the doctoral student, the supervisor, and, where applicable, the co-supervisors of the thesis, as well as to the academic committee.

## **Article 22. Doctoral thesis viva panel**

- 1. The viva panel will comprise three full members and three alternate members, who must fulfil the following requirements:
  - a. Hold a PhD.
  - b. Have accredited research experience. This experience will be accredited by means of an updated curriculum vitae, which must necessarily state their publications and participations in research projects in the last ten years.
  - c. Be actively involved in research within the specific field of the thesis. This condition will be accredited by means of the above-mentioned updated curriculum.
  - d. Develop, or have developed, research activities at a university, higher education centre, Spanish or foreign research centre or R&D&i department of an institution or enterprise at the national or international levels.
- 2. The viva panel will comprise a majority of members external to the UIB and the affiliated centres and mixed research institutes the UIB is involved with.
- 3. In accordance with section 1 of this article, the viva panel proposal must include the updated curricula vitae of all panel members.
- 4. The academic committee of the doctoral programme will propose a viva panel and shall designate among its members full and alternate chairs, secretaries and members, in accordance with the suitability criteria deriving from the submitted curricula vitae.
- 5. The thesis supervisor cannot be a member of the tribunal, except for the cases referred to in the sixth section of this article. The experts who have written the external reports regulated by article 20 and the authors of the submitted reports to apply for the International doctorate mention referred to in article 25 cannot be members of the tribunal either.
- 6. In the case of joint degrees or specific joint supervision agreements, the viva panel will be composed in accordance with what is set out in the corresponding agreement, which must necessarily state this fact.

- 7. The academic committee will send this proposal to the EDUIB for its approval, in agreement with what is set forth in article 21 of this regulation.
- 8. The academic committee will pass the copies of the thesis on to the members of the viva panel.

#### Article 23. Doctoral thesis viva defence

- 1. The chair of the viva panel will convene the thesis defence within three months of the ratification of the authorisation for the defence. The secretary will notify the date of the defence sufficiently in advance to all panel members, the EDUIB and the doctoral candidate.
- 2. The three members of the panel must be present in order for the defence to take place.
- 3. If the doctoral candidate does not attend without duly justified reasons, this fact shall be recorded in the minutes with the grade 'failed'.
- 4. If for some reason the defence cannot be carried out, the chair of the panel might convene the defence on an alternative date, as soon as circumstances permit. The EDUIB will communicate the new date.
- 5. The viva panel assessing the thesis will be in possession of the doctoral activities document referred to in articles 17.5 of this regulation and 2.5 of Royal Decree 99/2011, of 28th January. This document shall not result in a grade, but it will serve as a qualitative instrument for the purpose of assessing the thesis. The panel will also be in possession of the two reports issued by the experts referred to in article 20.3 of this regulation.
- 6. The doctoral thesis shall be assessed in a public defence session which shall consist in the candidate's reading and defence of the research project conducted, in front of the viva panel. Attending doctors might ask any questions at the time and in the manner indicated by the chair.
- 7. The defence session will preferably take place at the UIB, except in the case of double and joint degrees, and specific joint supervision agreements, in which cases the defence will be carried out in accordance with the provisions of the corresponding agreement, which must necessarily state this aspect.
  - The EDUIB might exceptionally authorise the celebration of the defence at a different location provided that the circumstances make this recommendable.
- 8. Similarly, the EDUIB might exceptionally authorise the use of telematic means for the celebration of the defence. The EDUIB will ensure the proper development of the defence session by these means and will take any other necessary actions.
  - In order to implement this option, the thesis supervisor must submit a reasoned request to the EDUIB within a month prior to the date the defence is

- scheduled for. This deadline might exceptionally be reduced if duly justified supervening circumstances arise.
- 9. The panel's chair shall ensure the proper development of the defence session.

#### Article 24. Doctoral thesis assessment

- 1. The panel shall issue a report with the global grade awarded for the thesis, which must fit the following scale: failed, pass, remarkable, outstanding.
- 2. The panel might propose that the thesis gets the 'cum laude' mention under the following circumstances:
  - a. The candidate has been awarded an 'outstanding' grade.
  - b. The members of the panel unanimously agree to it by secret ballot, in accordance with the procedure described in letter c) of this section.
  - c. The procedure will be as follows:
    - 1. The panel's secretary will provide the other panel members with the forms approved to this effect, so that each member can secretly cast their votes, which they must then introduce in an envelope that will then be closed.
    - 2. The secretary will collect the envelopes and sign them on the back and on the seal flap.
    - 3. The secretary will submit all the documentation generated in the defence session, including the above-mentioned signed envelopes to the administrative services responsible for the management of the doctoral programme.
    - 4. In the case that the defence is carried out telematically, the administrative services of the EDUIB will be responsible for submitting to the panel members the documentation corresponding to the 'cum laude' mention, in paper format and in good time, and for taking the necessary precautions to ensure that the vote will be secretly cast and that the provisions of article 14.7 of Royal Decree 99/2011, of 28th January, are observed.
    - 5. Once the votes cast in the presence of the secretary have been counted, the result obtained will be consigned in the computational tools available to that effect.
    - 6. These votes shall be kept, along with any other documentation generated in the defence session and the assessment of the thesis. All this documentation will be digitised and electronically stored.

- 7. The administrative services of the EDUIB will formally communicate the awarding of the 'cum laude' mention to the person concerned.
- d. In the case that no merits preceding the reading and defence of the thesis can be accounted for, like peer-reviewed publications, communications at conferences, etc., each panel member must write a reasoned report, using the form provided by the EDUIB to the effect of justifying the awarding of the 'cum laude' mention.
- 3. Once the doctoral thesis has been passed, the UIB will submit a copy of the thesis and of all the complementary documentation to the relevant ministry. In addition, the EDUIB will store the thesis in its own repository, and will grant the candidate the choice to publish it in open electronic format in the TDX (doctoral theses online) repository.
- 4. Under exceptional circumstances, such as the existence of confidentiality clauses with enterprises or the likelihood that patents might be generated which will fall on the contents of the thesis, or any other duly justified cause, and always at the request of the academic committee of the doctoral programme, the procedure described in article 28 of this regulation shall be followed.
- 5. The chair of the tribunal will ensure that what is set out in this regulation regarding the minutes and the assessment procedure is observed. The confidentiality of all deliberations must be guaranteed at all events.

#### Article 25. International doctorate mention

- 1. The mention of 'International doctor' can be included in the doctoral degree certificate, provided that the following conditions are met:
  - a. That during their mandatory training period for the attainment of a PhD, the doctoral student has stayed for at least 3 months (in one or more periods) in a foreign higher education institution or a renowned research centre, undertaking studies or research work. If these three months are separated into different stays, no stay lasting less than one month will be accepted for the purpose of calculating the 3 months required. The stay(s) and activities must be endorsed by the supervisor(s) and authorised by the academic committee responsible for the doctoral programme, and be incorporated into the doctoral activities document. This stay or stays will be accredited through a document issued to that effect by the recipient institution and signed by the person in charge of the stay at the foreign institution.

- b. That at least the summary and the conclusions of the thesis have been drawn up and defended in one of the languages habitually used for scientific communication in its specific field of knowledge, different from any of the official languages in Spain. This rule is not applicable when the stay(s), reports and experts are from a Spanish-speaking country.
- c. That at least two expert doctors linked to a foreign higher education institution or research institute have issued a report on the thesis.
- d. That at least one expert with the title of doctor and linked to a foreign higher education institution or research institute, and different from the person in charge of the stay mentioned in letter a) has been part of the viva panel.
- 2. The thesis defence must be carried out at the Spanish university in which the doctoral candidate is registered, or, in the case of joint doctoral programmes, at any of the universities involved or in the terms set in the collaboration agreement.
- 3. The application for the International doctorate mention will be carried out at the time when the PhD certificate is requested. The person concerned will submit the documentation accrediting the circumstances described in the first section of this article, where appropriate. The accreditation for the condition referred to in letter b) will consist in a certificate issued by the secretary of the viva panel of the doctoral thesis.
- 4. The EDUIB will decide on the awarding of the International doctorate mention.

## Article 26. International joint doctoral thesis supervision

- The UIB might sign collaboration agreements with other universities for the international joint supervision of doctoral theses. The other university or foreign higher education centre must have the legal capacity to issue doctoral degree certificates in its country.
- 2. Said agreement will have to include the following information:
  - a. Thesis supervisors assigned at each institution.
  - b. Annual registration of the doctoral student at each institution.
  - c. Pay of fees at the signatory institutions.
  - d. Duration of the research stay(s) at the other institution, which will have to add up to at least six months in total, regardless of whether they choose to do just one stay or more.
  - e. Make-up of the viva panel.
  - f. Arrangements for the thesis defence (institution where it shall be carried out, language, etc.).

- 3. Negotiations for the joint supervision agreement must be initiated prior to the end of the student's second year of enrolment. If this condition is not met, the EDUIB will decide on the viability of the joint supervision proposed.
- 4. Each doctoral degree may contain a reference to the fact that the two institutions administered the doctoral procedure jointly, provided that all the conditions set in the agreement have been met.
- 5. The EDUIB will decide on the inclusion of the 'Thesis jointly supervised with the University U' information in the certificate.
- 6. The inclusion of the 'Thesis jointly supervised with the University U' information is compatible with the awarding of the International doctorate mention, provided that the requirements set out in article 25 of this regulation are met.

#### Article 27. Industrial doctorate mention

- 1. The 'Industrial Doctorate' mention will be awarded provided that the following conditions are met:
  - a. The existence of a labour or commercial contract with the doctoral student.
  - b. The contract must have been signed prior to the end of the student's second year of enrolment. This contractual link might be with a public or private enterprise, as well as with the Public Administration.
  - c. The doctoral student must partake in an industrial or experimental development research project implemented by the enterprise or Public Administration they serve, which cannot be a university. This project must be directly linked to the student's doctoral thesis, which must be accredited by means of a report.
  - d. If the industrial or experimental development research project is conducted through a joint supervision agreement between the University of the Balearic Islands and the enterprise or Public Administration in which the doctoral student serves, a collaboration agreement shall be signed by both institutions. This document will state the obligations of the University of the Balearic Islands and those of the enterprise or Public Administration, as well as the process for the selection of doctoral students. In the case that the agreement does not state this, the doctoral student will be required to have a contract with said enterprise or Public Administration until the time of the deposit of the doctoral thesis.
- 2. The doctoral student will be assigned a tutor by the University and a person in charge designated by the enterprise or Public Administration, who might as

well be the supervisor of the thesis in accordance with the rules laid down in this regulation.

3. The EDUIB shall decide on the awarding of the 'Industrial Doctorate' mention.

Article 28. Procedure for the authorisation, defence, assessment and publication at the University of the Balearic Islands of doctoral theses subject to data or research results protection, or those which might be subject to technology or knowledge transfer.

- The procedures for the authorisation, defence, assessment and publication of doctoral theses which might be subject to data or research results protection, or those which might be subject to technology or knowledge transfer are the ones stated in article 21 of this regulation, with the differences indicated below.
- 2. Once the thesis has been completed, those doctoral students who want to initiate the revision process of a thesis containing information which might be considered to be confidential, will request it to the EDUIB through the standard model provided to this effect, which must be duly completed and submitted to the Registry of the UIB, along with the documents listed in article 20 of this regulation.
- 3. The Executive Committee of the EDUIB will decide upon the request within fifteen days from the day following its registration. This request shall only be accepted when its duly accredited that the secret is absolutely indispensable to grant the success of the protection and transference processes. The secretary of the Executive Committee will notify the agreement to the doctoral student and, if it is positive, it will also communicate it to the thesis supervisor, the thesis tutor and the academic committee of the doctoral programme.
- 4. After that, the thesis will be forwarded to the academic committee of the relevant doctoral programme. The members of the academic committee will have to maintain strict confidentiality in regards to the contents of the doctoral thesis, and will have to sign the corresponding confidentiality commitments for the necessary time period. These signed commitments will be held by the secretary of the EDUIB and the doctoral student will be handed a copy, if requested.
- 5. The persons designated to write the reports on the doctoral thesis must sign the required confidentiality commitments prior to receiving it. The secretary of the EDUIB will safeguard the signed confidentiality commitments. In this case, the issuing of the reports might last up to ten weeks.
- 6. The members of the viva panel, who will be expressly informed that the thesis is subject to information protection processes, will have access to the full version of the thesis and will be obliged to keep its contents secret. Prior to the submission of the thesis, the members of the viva panel will submit their duly signed confidentiality commitments to the secretary of the EDUIB.

- 7. Before the thesis defence session is over, the chair of the viva panel might ask the attending public to leave the room if they consider that the panel must ask the candidate about any confidential aspects related to the thesis.
- 8. In any event, if the candidate considers that the answer to any of the panel's questions might reveal confidential information, they may request the chair to answer them during the second part of the defence, when no public is in attendance.
- 9. The publication of the doctoral thesis in the TDX will be carried out when the information protection period had concluded, which will be duly communicated to the UIB by the doctoral candidate.

## **Chapter V. Processing of doctoral programmes proposals**

## **Article 29. Doctoral programmes proposals**

The relevant research units of the UIB will be entitled to put forward proposals for doctoral programmes.

In compliance with what is set out in article 145 of the Statutes of the UIB, the relevant units with regard to research are: research groups, departments, university research institutes and other centres and partnerships of a mixed nature between the University and other public or private bodies.

## **Article 30. Calendar and curricula development**

On the proposal of the EDUIB, the Executive Council will approve the calendar for the processing of doctoral programmes proposals, and will provide the necessary tools for drawing them up, in accordance with annexe 1 of Royal Decree 99/2011, of 28th January, and the computer application for the formalisation of qualifications of the Registry of Universities, Centres and Qualifications (RUCT, for its Spanish acronym), provided to this effect by the relevant ministry.

#### **Article 31. Procedure for the approval of proposals**

- The proposing units will submit their proposals to the EDUIB making use of the forms made available on the EDUIB's website and within the deadline set. These will necessarily include a proposal for an academic committee for the doctoral programme developed in accordance with what is set out in article 14 of this regulation.
- 2. The EDUIB will report on the proposals on the basis of quality, the research strategy of the UIB and economic viability.
- 3. The EDUIB will send the submitted proposals along with the corresponding reports to the Executive Council.

- 4. The Executive Council might approve or reject the submitted proposal, or make any recommendations it deems appropriate regarding any of its aspects, including the academic committee's make-up.
- 5. In the event that any recommendations are made, these will be delivered to the EDUIB and the proposing units, which will have to provide a reply within ten days, and submit a new proposal containing the required modifications to the EDUIB for submission to the Executive Council.
- 6. Once the Executive Council has finally approved the proposal, the Vice-Chancellor will formally approve the academic committee of the doctoral programme. In the case of doctoral programmes jointly organised with other institutions, the appointment of the academic committee will be carried out as specified in the corresponding agreement.
- 7. The academic committee of the doctoral programme will develop the programme's curriculum in accordance with what is set out in Royal Decree 99/2011, of 28th January, and ANECA's criteria, protocols and assessment procedures, and RUCT's computer application for the formalisation of qualifications, for which purpose it will make use of the tools provided by the EDUIB. Throughout this process, the EDUIB shall act as a consultative body and shall necessarily track the proposal development process, until the Executive Committee of the EDUIB deems it satisfactory. The Executive Committee of the EDUIB might delegate this task to a sub-committee created among its members to this effect.
- 8. The EDUIB will forward the curriculum proposal to the university community within seven days, which will then have fifteen days to submit any suggestions and allegations it deems appropriate. If the university community fails to respond, it will be understood that it agrees to it.
- 9. Once the period allowed for allegations has expired, the EDUIB will forward the proposal and the allegations received to the proposing units, which will have fifteen days to write a reasoned report in response to them.
- 10. The proposal with the allegations and the report referred to in the previous section will be sent to the EDUIB, which will issue a final report.
- 11. The final report, along with all the documentation generated as a result of the processing will be forwarded to the Executive Council for its approval, where appropriate.
- 12. Once the curriculum proposal has been approved by the Executive Council, it will be forwarded to the Governing Council for its final approval, as the case may be.
- 13. Once the curriculum has been approved by the Governing Council the Social Council will be informed and the curriculum forwarded to the Universities Council. In addition, it will be sent to the department responsible for

university education of the Government of the Balearic Islands, in accordance with current regulations.

## **Article 32. Modification of doctoral programmes**

- Doctoral programmes might be modified at the request of the academic committee of the doctoral programme, which might set up a commission or working group which will be in charge of developing the modifications proposal.
- 2. The Executive Council of the UIB might also propose the modification of the programme, with a prior report by the UIB.
- 3. The academic committee of the doctoral programme will submit its proposal to the EDUIB for consideration and, if deemed appropriate, for its subsequent approval. This proposal must specify the features and scope of the modification, using the models and tools provided by the relevant Pro Vice-Chancellor Office, and must include the reports and approval, where appropriate, of the bodies proposing the doctoral programme.
- 4. Once approved, the EDUIB will forward a report on the modification of the doctoral programme along with all the relevant documentation to the Executive Council.
- 5. The Executive Council, having seen the documentation, will approve the request for the modification of the doctoral programme and send it to the Governing Council for its final approval. Once this has taken place, the procedures laid down in current regulations will be followed.
- 6. If the modification is not authorised, the Executive Council will communicate the reasons for this to the EDUIB and the academic committee of the doctoral programme.

## **Article 33. Administrative appeals**

The resolutions and agreements adopted by the governing and representation bodies of the University corresponding to any administrative processes deriving from this regulation may be contested in the cases and in the manner laid down in Law 39/2015, of 1st October, of the Common Administrative Procedure of the Public Administrations (LPACAP, for its Spanish acronym).

## **Chapter VI. Outstanding doctoral research awards**

#### **Article 34. Outstanding doctoral research awards**

1. Every two years the University of the Balearic Islands will award an outstanding doctoral research award for each doctoral programme provided

that a minimum of five theses have been defended during this period, in accordance with the following conditions:

- a. The candidates for this award will be those students who have attained the 'cum laude' mention in the period referred.
- b. Each doctoral programme's academic committee will be in charge of setting the criteria and requirements it deems appropriate to be valued as merits for the awarding of the outstanding doctoral research award within the framework of the corresponding doctoral programme. These criteria will be made public on the website of the doctoral programme. Regarding the merits obtained after the thesis defence, only those attained within the two years following the date of the defence will be considered.
- c. The EDUIB will request from the candidates the accreditation of the above-mentioned requirements and merits.
- 2. In the event that fewer than five doctoral theses are defended in the framework of a doctoral programme during two academic years, the ones that have been defended will be accumulated and added to those defended in the following academic year(s), up to a maximum of five academic years, at the end of which a prize might be awarded.
- 3. In the case that more than ten theses have been defended in the course of two consecutive academic years another prize might be awarded for each five additional theses.
- 4. The Executive Committee of the EDUIB will approve the commissions that must award the prizes, after hearing the suggestions of the academic committees of each doctoral programme. A commission will be appointed for each doctoral programme.
- 5. Each commission will be made up of three members, who will have to be researching doctors linked to a university or research institution other than the UIB and who cannot be part of the academic committee of the doctoral programme, and must hold accredited research within the area of research of each doctoral programme. The EDUIB will be in charge of providing administrative support and safeguarding all the documentation generated by the commissions.
- 6. The commissions will have to assess the thesis within the academic year following the call for the award, and shall not request that the candidate carries out any special exercise or test.
- 7. The commissions' meetings might be held by telematic means.
- 8. The commissions might declare the award null and void, in which case it cannot be incorporated to other doctoral programmes or academic years.

- 9. The commissions will submit a reasoned report to the EDUIB, containing their proposals for the outstanding doctoral research awards, using a form prepared to this effect. The EDUIB will forward these proposals to the Executive Council for its approval, as the case may be.
- 10. Once approved by the Executive Council, the proposals for the outstanding doctoral research awards will be submitted to the Governing Council of the UIB, which will approve the awarding of these prices, if it deems it appropriate.

## **Additional provisions**

## One. Regulation implementation

This regulation shall be applicable to all doctoral students enrolled in any doctoral programme at the UIB in the academic year 2019–20, regardless of the academic year in which they formalised their first enrolment.

## Two. Access of doctoral students coming from former university organisations

The academic committee of the doctoral programme will decide in each case on the cross-disciplinary and/or specific training activities to be undertaken by doctoral students who have been admitted to a doctoral programme regulated by Royal Decree 99/2011, of 28th January, coming from a former university organisation governed by a different regulation.

## Three. Minimum enrolment period in a doctoral programme

The Executive Committee of the EDUIB, on the proposal of the academic committee of the relevant doctoral programme might determine the minimum enrolment period for a doctoral student in a doctoral programme regulated by Royal Decree 99/2011, of 28th January, based on their previous accredited research experience.

This shall also be applicable to doctoral students coming from other regulations governing doctoral studies.

## Four. Make-up of collegiate bodies

The Executive Committee of the EDUIB is the body responsible for promoting and ensuring a balanced representation of women and men in the make-up of the different collegiate bodies and assessment committees, and in the viva panels which might be constituted in the framework of the doctoral studies of the UIB.

#### **Five. Names**

All names of governing and representation bodies, positions, capacities and members of the university community, as well as any others that are used in their masculine

forms in this agreement, might refer both to the masculine and feminine genders, depending on the gender of the persons they refer to.

## Six. Regulatory development

The Executive Council is hereby empowered to interpret and develop this regulation.

## Single transitional provision

# Awarding of outstanding doctoral research awards for theses governed by former regulations

Regarding the awarding of the outstanding doctoral research award, article 34 of this regulation will be applicable to doctoral candidates who have defended a doctoral thesis under the conditions specified in article 26 of Regulatory Agreement 11037/2014, of 22nd July, approving the regulations for the organisation of doctoral studies at the University of the Balearic Islands. This implies that no additional request will be required to be eligible to receive this award.

## Single Repealing provision

## **Regulatory repeal**

- Regulatory Agreement 11037/2014, of 22nd July, approving the regulations for the organisation of doctoral studies at the University of the Balearic Islands (FOU no. 403, of 31st July), and its approved amendment set forth by Regulatory Agreement 11330/2015, of 23rd March (FOU no. 414, of 17th April) are hereby repealed.
- 2. All regulations of a similar or lower status which contradict or oppose those provided herein, are hereby repealed.

## Single final provision

## **Entry into force**

The present regulatory agreement shall come into force on the day following its publication in the *Full Oficial de la Universitat de les Illes Balears* [Official Gazette of the University of the Balearic Islands].

Palma, 10th April 2019 The Vice-Chancellor, Llorenç Huguet

In the event of discrepancies between the different versions (Catalan, Spanish and English), the version published in the Full Oficial de la Universitat de les Illes Balears [Official Gazette of the University of the Balearic Islands] shall be regarded as the authentic one and shall prevail.